

Electronic Acknowledgement Receipt

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Confirmation Number:	5670
Title of Invention:	System and method for controlling the disclosure of a trading order
First Named Inventor/Applicant Name:	Thomas J. Daley
Customer Number:	63710
Filer:	Glen Farbanish/Laura Alto
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Application Type:	Utility under 35 USC 111(a)

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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6174_100630_IDS.pdf	43384 6c7d6f636842913bb84c36f9a43596d51f588d27	no	5

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	04-6174_100630_1449.pdf	39182	no	3
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7	Foreign Reference	JP_2003-345987.pdf	1572339	no	19
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Warnings:					
Information:					
Total Files Size (in bytes):			17650755		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					